

PTO-1390- TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER 85315 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/532,900
INTERNATIONAL APPLICATION NO. PCT/JP2004/012847	INTERNATIONAL FILING DATE September 3, 2004	PRIORITY DATE CLAIMED September 8, 2003
TITLE OF INVENTION OPTICAL SIGNAL RECEIVER, OPTICAL SIGNAL RECEIVING EQUIPMENT, AND OPTICAL SIGNAL TRANSMISSION SYSTEM		
APPLICANT(S) FOR DO/EO/US KOJI KIKUSHIMA		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 20. below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO/SB/08a Substitute for Form 1449/A and references as indicated. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Status Inquiry 		
CERTIFICATE OF MAILING BY "EXPRESS MAIL"		
"Express Mail" Mailing Label Number <u>EL 524667870 US</u> <div style="text-align: center; font-size: 1.2em; font-weight: bold;">NOV 04 2005</div> Date of Deposit _____ I hereby certify that this paper or fee is being deposited with sufficient postage utilizing the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. <div style="text-align: center;"> <u>ED PRICE</u> (Typed or printed name of person mailing) <u>ED PRICE</u> (Signature of person mailing) </div>		

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21. The following fees are submitted:						Applicant use	Office use only
<input type="checkbox"/> a)	Basic national fee				\$300.00	\$ 0.00	
<input type="checkbox"/> b)	Examination fee				\$200.00	\$ 0.00	
<input type="checkbox"/> c)	Search fee				\$500.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =						\$ 0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof		RATE	
- 100 =		0 / 50 =		0		x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE	
Total claims		20		- 20 =		0 x \$50.00	
Independent Claims		3		- 3 =		0 x \$200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					\$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =						\$ 0.00	
Applicant(s) assert entitlement to Small Entity Status (37 CFR 1.27), thus reducing the filing fee by half to:						\$ 0.00	
SUBTOTAL =						\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ 0.00	
TOTAL NATIONAL FEE =						\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.						\$ 0.00	
TOTAL FEES ENCLOSED =						\$ 0.00	
AMOUNT TO BE:						Refunded	\$
						Charged	\$

a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 06-1135 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FITCH, EVEN, TABIN & FLANNERY
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 SIGNATURE
 Lilia I. Safonov
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 45,967
 REGISTRATION NUMBER

Res'd PCT/PTO 04 NOV 2005 #3
10/1532900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Koji Kikushima**)
)
Appln. No.: **10/532,900**)
)
Filed: **April 26, 2005**)
)
For: **OPTICAL SIGNAL**)
RECEIVER, OPTICAL SIGNAL)
RECEIVING EQUIPMENT, AND)
OPTICAL SIGNAL TRANSMISSION)
SYSTEM)
Group)
Art Unit: **2872**)
Examiner: **Not Yet Assigned**)
)
Docket No.: **85315**)
)
Cust. No.: **22242**)

Conf. No.: **2254**

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"Express Mail" Mailing Label Number EL 524667870 US

Date of Deposit NOV 04 2005

I hereby certify that this paper or fee is being deposited with sufficient postage utilizing the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to Mail Stop **PCT**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ED PRICE

(Typed or printed name of person mailing)

[Signature]

(Signature of person mailing)

STATUS INQUIRY

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

The above-identified application was filed with the United States Patent and Trademark Office on April 26, 2005. We have not received the return post card with the Serial No., or any communication from the Patent and Trademark Office indicating action on this application. Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

[Signature]

Lilia I. Safonov
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Date: 11/4/05

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